PTO/SB/21 (12-08)

Approved for use through 01/31/2009. OMB 0651-0031
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| | Application Number | 09/400,70 | | | | |
|---|--|---------------|---------------------------|--|--|--|
| TRANSMITTAL | Filing Date | Septembe | September 21, 1999 | | | |
| FORM | First Named Inventor | Michael L. | Michael L. Gough | | | |
| | Art Unit | 2419 | | | | |
| | Examiner Name | Thong H. \ | /u | | | |
| (to be used for all correspondence after initial | Attorney Docket Number | NEO1P018.US01 | | | | |
| Total Number of Pages in This Submission | 11 Allomoy Booker Names | INCOTECT | | | | |
| ENCLOSURES (Check all that apply) | | | | | | |
| Fee Transmittal Form | Drawing(s) | | ᆜ | Allowance Communication to TC | | |
| Fee Attached | Licensing-related Papers | | of App | eals and Interferences | | |
| Amendment/Reply | Petition Petition to Convert to a | | Appea (Appea | Il Communication to TC Il Notice, Brief, Reply Brief) | | |
| After Final | After Final Provisional Application | | | etary Information | | |
| Affidavits/declaration(s) | Power of Attorney, Revocation Change of Correspondence | | Status | Letter | | |
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| | Landscape Table on CI |) | | | | |
| Certified Copy of Priority | Remarks | | <u> </u> | | | |
| Document(s) | The Commissioner is authorized to co | redit/debit [| Deposit Account | No. 50-3539 if deemed | | |
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| under 37 CFR 1.52 or 1.53 | | | | | | |
| | | | | | | |
| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT | | | | | | |
| Firm Name TIPS Group Customer No. 45965 | | | | | | |
| Signature // | | | | | | |
| Printed name Paul L. Hickman | | | | | | |
| Date 8/12/09 | 8/12/09 Reg. No. 28,5 | | | | | |
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| Typed or printed name Paul L. Hickman | | | Date | 8/12/09 | | |

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/17 (10-08)

Approved for use through 06/30/2010. OMB 0651-0032

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Complete if Known Effective on 12/08/2004. suant to the Consolidated Appropriations Act, 2005 (H.R. 4818). 09/400.708 Application Number TRANSMIT Filing Date September 21, 1999 For FY 2009 First Named Inventor Michael L. Gough **Examiner Name** Thong H. Vu Applicant claims small entity status. See 37 CFR 1.27 Art Unit 2419 TOTAL AMOUNT OF PAYMENT (\$) 245.00 Attorney Docket No. NEO1P018.US01 METHOD OF PAYMENT (check all that apply) Other (please identify): Check Credit Card JMoney Order None L ✓ Deposit Account Deposit Account Number: <u>50-3539</u> Deposit Account Name: TIPS Group For the above-identified deposit account, the Director is hereby authorized to: (check all that apply) Charge fee(s) indicated below Charge fee(s) indicated below, except for the filing fee Charge any additional fee(s) or underpayments of fee(s) Credit any overpayments under 37 CFR 1.16 and 1.17 WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. **FEE CALCULATION** 1. BASIC FILING, SEARCH, AND EXAMINATION FEES **FILING FEES** SEARCH FEES **EXAMINATION FEES** Small Entity Small Entity **Small Entity** Fees Paid (\$) Fee (\$) Fee (\$) **Application Type** Fee (\$) Fee (\$) Fee (\$) Fee (\$) 330 540 220 Utility 165 270 110 140 220 70 Design 110 100 50 170 Plant 220 330 85 110 165 650 Reissue 330 165 540 270 325 Provisional 220 0 0 0 0 110 **Small Entity** 2. EXCESS CLAIM FEES Fee (\$) Fee (\$) Fee Description 52 26 Each claim over 20 (including Reissues) 220 110 Each independent claim over 3 (including Reissues) 390 195 Multiple dependent claims Fee (\$) Fee Paid (\$) Multiple Dependent Claims **Total Claims Extra Claims** Fee Paid (\$) Fee (\$) - 20 or HP = HP = highest number of total claims paid for, if greater than 20. **Extra Claims** Fee Paid (\$) Indep. Claims Fee (\$) - 3 or HP = HP = highest number of independent claims paid for, if greater than 3. 3. APPLICATION SIZE FEE If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$270 (\$135 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s). Number of each additional 50 or fraction thereof Fee Paid (\$) **Total Sheets Extra Sheets** (round up to a whole number) x - 100 = / 50 = 4. OTHER FEE(S) Fees Paid (\$) Non-English Specification, \$130 fee (no small entity discount) \$245.00 Other (e.g., late filing surcharge): Extension of Time - 2 months

| SUBMITTED BY | | | |
|-------------------|-----------------|--|------------------------|
| Signature | | Registration No. (Attorney/Agent) 28,516 | Telephone 650-293-3355 |
| Name (Print/Type) | Paul L. Hickman | | Date 8/12/09 |

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